

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TRANFIA re application of: Bahrs

Serial No.: 09/431,429

Filed: October 29, 1999

For: Method and Apparatus in a Data

**Processing System for** 

Specifying the Sequencing and

**Mediation of Application Components** 

35525

PATENT TRADEMARK OFFICE CUSTOMER NUMBER Group Art Unit: 2178

Examiner: Paula, Cesar B.

Attorney Docket No.: AUS990339US11

Certificate of Mailing Under 37 C.F.R. § 1.8(a)

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November 3, 2003

By:

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Technology Center 2100

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

## **ENCLOSED HEREWITH:**

- Supplemental Information Disclosure Statement;
- Form PTO-1449;
- References AN-AR and CX-DB; and
- Our return postcard.

No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 09-0447.

Respectfully submitted,

Duke W. Yee

Registration No. 34,285

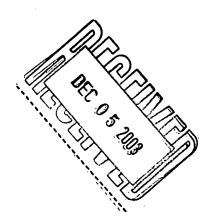
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ATTORNEY FOR APPLICANT



Serial No.: 09/431,429

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For: Method and Apparatus in a Data

**Processing System for** 

Specifying Sequencing the **Mediation of Application Components**  Group Art Unit: 2178

Examiner: Paula, Cesar B.

Attorney Docket No.: AUS9 POSE VED

NOV 1 7 2003

Technology Center 2100

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT **UNDER 37 C.F.R. 1.97**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

Please charge any fees necessary for prosecution of the present application to Deposit Account No. 09-0447. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 09-0447.

In accordance with 37 C.F.R. 1.97(h), the filing of this Supplemental Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made.

This Supplemental Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee is required.

Respectfully submitted,

Date: November 3, 2003

Duke W. Yee

Registration No. 34,285

CARSTENS, YEE & CAHOON, LLP

P.O. Box 802334 Dallas, Texas 75380 (972) 367-2001 U.S. DEPT. OF COMMERCE PATENT AND TRADEMARK OFFICE

| Form PTO-1449  LIST OF PRIOR ART CITED  BY APPLICANT  (Use several sheets if necessary) |                      |                                                                 |                               | ATTORNEY DOCKET NO. AUS990339US11                   | 09/431,429                               | CEIVE                                                                          |  |  |
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| ,,                                                                                      |                      |                                                                 |                               | APPLICANT Bahrs Technology Center 2                 |                                          |                                                                                |  |  |
|                                                                                         |                      |                                                                 |                               | FILING DATE October 29, 1999                        | GROUP ART UNIT 2178                      |                                                                                |  |  |
|                                                                                         | 禁止                   |                                                                 | U                             | S. PATENT DOCUMENTS                                 |                                          |                                                                                |  |  |
| EXAMINER                                                                                | DOCUMENT PUBLICATION |                                                                 | PUBLICATION                   | INVENTOR NAME                                       | CLASS/                                   | FILING                                                                         |  |  |
| INITIAL                                                                                 |                      | NO.                                                             | DATE                          |                                                     | SUBCLASS                                 | DATE                                                                           |  |  |
|                                                                                         |                      | 5,973,702                                                       | Oct. 26, 1999                 | Orton et al.                                        | 345/433                                  | Jun. 7, 1995                                                                   |  |  |
|                                                                                         | AB                   | 6,202,207 B1                                                    | <u> </u>                      | Donohue                                             | 717/11                                   | Aug. 19, 1998                                                                  |  |  |
|                                                                                         | AC                   | 6,442,754 B1                                                    | Aug. 27, 2002                 | Curtis                                              | 717/175                                  | Mar. 29, 1999                                                                  |  |  |
|                                                                                         | AD                   | 6,425,126 B1                                                    | Jul. 23, 2002                 | Branson et al.                                      | 717/168                                  | May 19, 1999                                                                   |  |  |
|                                                                                         | ΑE                   | 6,493,871 B1                                                    | Dec. 10, 2002                 | McGuire et al.                                      | 717/173                                  | Sep. 16, 1999                                                                  |  |  |
|                                                                                         | AF                   | 6,115,646                                                       | Sep. 5, 2000                  | Fiszman et al.                                      | 700/181                                  | Dec. 18, 1997                                                                  |  |  |
|                                                                                         | AG                   | 6,330,659 B1                                                    | Dec. 11, 2001                 | Poff et al.                                         | 712/34                                   | Nov. 6, 1997                                                                   |  |  |
|                                                                                         | AH                   | 6,175,364 B1                                                    | Jan. 16, 2001                 | Wong et al.                                         | 345/340                                  | Apr. 1, 1997                                                                   |  |  |
|                                                                                         | Al                   | 6,169,546 B1                                                    | Jan. 2, 2001                  | Bogdan                                              | 345/339                                  | Apr. 1, 1998                                                                   |  |  |
|                                                                                         | AJ                   | 6,064,382                                                       | May 16, 2000                  | Diedrich et al.                                     | 345/335                                  | Nov. 19, 1997                                                                  |  |  |
|                                                                                         | AK                   | 6,208,994 B1                                                    | Mar. 27, 2001                 | Abdelnur                                            | 707/103                                  | Jun. 11, 1998                                                                  |  |  |
|                                                                                         | AL                   | 6,469,714 B2                                                    | Oct. 22, 2002                 | Buxton et al.                                       | 345/762                                  | Dec. 29, 1998                                                                  |  |  |
|                                                                                         | AM                   | 6,556,218 B1                                                    | Apr. 29, 2003                 | Alcorn                                              | 345/749                                  | Dec. 10, 1998                                                                  |  |  |
|                                                                                         |                      | OTHE                                                            | R PRIOR ART (                 | l<br>(including author, title, date, pertinent page | ), etc.),                                |                                                                                |  |  |
|                                                                                         | AN                   |                                                                 | FILL 2000 Y.A., 87 JANES F. A | Web Workshop JavaScript", Sams.net,                 | 1 1 20 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 | W. C. C. COLV. J. W. Ch. H. Charles and C. |  |  |
|                                                                                         | AO                   | Vanhelsuwe, "Mastering JavaBeans", Sybex, 1997, chapter 3.      |                               |                                                     |                                          |                                                                                |  |  |
|                                                                                         | AP                   | Zukowski, "Mastering Java 2", Sybex, 1998, chapters 12, 14, 22. |                               |                                                     |                                          |                                                                                |  |  |
|                                                                                         |                      | Weber, "Advanced JFC", QUE, 1998, chapter 24.                   |                               |                                                     |                                          |                                                                                |  |  |
|                                                                                         | AR                   |                                                                 |                               |                                                     |                                          |                                                                                |  |  |
|                                                                                         |                      |                                                                 | RELA                          | ATED PATENT APPLICATIONS                            |                                          |                                                                                |  |  |
| EXAMINER<br>INITIAL                                                                     |                      | APPLICATION NO./<br>ATTY. DOCKET NO.                            | APPLICANT                     | TITLE                                               |                                          | FILING<br>DATE                                                                 |  |  |
| DATE CONSIDERED                                                                         |                      |                                                                 | 1                             | EXAMINER                                            |                                          |                                                                                |  |  |



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Page 2 of 5 SERIAL NO Form PTO-1449 ATTORNEY DOCKET NO. AUS990339US11 09/431,429 NOV 1 7 2003 LIST OF PRIOR ART CITED BY APPLICANT Technology Center 2100 (Use several sheets if necessary) APPLICANT Bahrs GROUP ART UNIT 2178 FILING DATE October 29, 1999 **U.S. PATENT DOCUMENTS** DOCUMENT **PUBLICATION** INVENTOR NAME CLASS/ **FILING EXAMINER SUBCLASS** DATE INITIAL NO. DATE 6,185,590 B1 707/526 Oct. 15, 1997 Feb. 6, 2001 Klein 6,237,011 B1 May 22, 2001 Ferguson et al. 707/515 Oct. 8, 1997 AT Nov. 17, 1997 6,085,120 Jul. 4, 2000 Schwerdtfeger et al. 700/90 ΑU Dec. 14, 1998 6,275,228 B1 Aug. 14, 2001 Cataudella 345/339 ΑV Nov. 9, 1999 713/200 Sep. 10, 1997 AW 5,983,348 Jun. 11, 1998 AX 6,023,271 Feb. 8, 2000 Quaeler-Bock et al. 345/335 Oct. 13, 1995 AY 5,724,589 Mar. 3, 1998 Wold 395/701 6,438,617 B1 Aug. 20, 2002 Savitsky et al. 709/316 Jan. 21, 1999 AZ Jan. 6, 1999 BA 6,335,741 B1 Jan. 1, 2002 Nock 345/764 May 23, 1994 5,704,029 Dec. 30, 1997 Wright, Jr. 395/149 BB Feb. 23, 1995 Oct. 15, 1996 | Sheffield 395/600 BC 5,566,330 BD 5,752,018 May 12, 1998 Sheffield 395/602 May 22, 1996 May 28, 1998 Nov. 14, 2000 Seidl et al. 707/104 BE 6,148,306 Jun. 19, 1998 6,208,339 B1 Mar. 27, 2001 Atlas et al. 345/338 BF 6,185,583 B1 Feb. 6, 2001 Blando 707/507 Nov. 30, 1998 BG 713/201 May 5, 1997 BH 6,167,523 Dec. 26, 2000 | Strong 707/523 Oct. 4, 1996 BI 6,029,182 Feb. 22, 2000 Nehab et al. BJ 6,091,411 Jul. 18, 2000 Straub et al. 345/333 Dec. 7, 1998 345/349 Aug. 14, 2001 Cataudella et al. Dec. 14, 1998 6,275,232 B1 OTHER PRIOR ART (including author, title, date, pertinent page, etc.) RELATED PATENT APPLICATIONS **APPLICANT FILING** TITLE APPLICATION NO./ **EXAMINER** DATE INITIAL ATTY, DOCKET NO. **DATE CONSIDERED** EXAMINER

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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| EXAMINER                                                                                |           | DOCUMENT                             | PUBLICATION    | INVENTOR NAME                                                                             | CLASS/                  | FILING                |
| INITIAL                                                                                 |           | NO.                                  | DATE           |                                                                                           | SUBCLASS                | DATE                  |
|                                                                                         | <u> </u>  | 5,408,650                            | Apr. 18, 1995  |                                                                                           | 395/575                 | Jun. 29, 1993         |
|                                                                                         | ВМ        | 5,835,914                            | Nov. 10, 1998  | Brim                                                                                      | 707/206                 | Feb. 18, 1997         |
|                                                                                         | BN        | 5,805,892                            | Sep. 8, 1998   | Nakajima                                                                                  | 395/704                 | Sep. 13, 1995         |
|                                                                                         | во        | 6,134,676                            | Oct. 17, 2000  | VanHuben et al.                                                                           | 714/39                  | Apr. 30, 1998         |
|                                                                                         | BP        | 6,272,641 B1                         | Aug. 7, 2001   | Ji                                                                                        | 713/201                 | Nov. 9, 1999          |
|                                                                                         | BQ        | 6,457,142 B1                         | Sep. 24, 2002  | Klemm et al.                                                                              | 714/38                  | Oct. 29, 1999         |
|                                                                                         | BR        | 6,611,498 B1                         | Aug. 26, 2003  | Baker et al.                                                                              | 370/252                 | Sep. 24, 1998         |
| ·                                                                                       | BS        | 5,408,659                            | Apr. 18, 1995  | Cavendish et al.                                                                          | 395/650                 | Mar. 5, 1992          |
|                                                                                         | ВТ        | 6,211,872 B1                         | Apr. 3, 2001   | Matsutsuka et al.                                                                         | 345/339                 | Oct. 19, 1998         |
|                                                                                         | BU        | 6,590,594 B2                         | Jul. 8, 2003   | Bates et al.                                                                              | 345/784                 | Mar. 25, 1999         |
|                                                                                         | BV        | 6,560,770 B1                         | May 6, 2003    | Saxena et al.                                                                             | 717/107                 | May 25, 1999          |
|                                                                                         | BW        | 5,761,509                            | Jun. 2, 1998   | Danforth et al.                                                                           | 395/701                 | Feb. 13, 1997         |
|                                                                                         | вх        | 6,054,996                            | Apr. 25, 2000  | Bardon et al.                                                                             | 345/433                 | Nov. 20, 1996         |
|                                                                                         | BY        | 5,675,755                            | Oct. 7, 1997   | Trueblood                                                                                 | 395/346                 | Jun. 7, 1995          |
|                                                                                         | BZ        | 4,649,473                            | Mar. 10, 1987  | Hammer et al.                                                                             | 364/200                 | Jun. 17, 1985         |
|                                                                                         | CA        | 5,317,746                            | May 31, 1994   | Watanabe                                                                                  | 395/700                 | Jun. 2, 1992          |
|                                                                                         | СВ        | 5,369,778                            | Nov. 29, 1994  | San Soucie et al.                                                                         | 395/800                 | Sep. 27, 1993         |
|                                                                                         | СС        | 5,396,630                            | Mar. 7, 1995   | Banda et al.                                                                              | 395/700                 | Oct. 6, 1992          |
|                                                                                         | CD        | 5,634,124                            | May 27, 1997   | Khoyi et al.                                                                              | 395/614                 | May 25, 1995          |
|                                                                                         | CE        | 6,182,154 B1                         | Jan. 30, 2001  | Campagnoni et al.                                                                         | 709/315                 | Apr. 7, 1997          |
|                                                                                         |           | FALLER STREET                        | (3) 自然に対する。    | TED PATENT APPLICATIONS                                                                   |                         | 数据数据                  |
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|                                                      | CF                                      | 6,295,553 B1                                                                                                            | Sep. 25, 2001       | Gilbertson et al.                                | 709/207              | Dec. 22, 1998  |  |  |
|                                                      | CG                                      | 6,615,258 B1                                                                                                            | Sep. 2, 2003        | Barry et al.                                     | 709/223              | Sep. 24, 1998  |  |  |
|                                                      | СН                                      | 6,177,936 B1                                                                                                            | Jan. 23, 2001       | Cragun                                           | 345/340              | Aug. 20, 1998  |  |  |
|                                                      | CI                                      | 5,233,686                                                                                                               | Aug. 3, 1993        | Rickenbach et al.                                | 395/158              | Sep. 24, 1991  |  |  |
|                                                      | CJ                                      | 5,317,741                                                                                                               | May 31, 1994        | Schwanke                                         | 395/700              | May 10, 1991   |  |  |
|                                                      | СК                                      | 5,438,676                                                                                                               | Aug. 1, 1995        | Schwanke                                         | 395/650              | Jun. 4, 1993   |  |  |
| -                                                    | CL                                      | 5,560,014                                                                                                               | Sep. 24, 1996       | Imamura                                          | 395/700              | Mar. 27, 199   |  |  |
|                                                      | СМ                                      | 5,758,152                                                                                                               | May 26, 1998        | LeTourneau                                       | 395/613              | Dec. 6, 1990   |  |  |
|                                                      | CN                                      | 5,787,431                                                                                                               | Jul. 28, 1998       | Shaughnessy                                      | 707/100              | Dec. 16, 199   |  |  |
|                                                      | СО                                      | 5,812,849                                                                                                               | Sep. 22, 1998       | Nykiel et al.                                    | 395/701              | Dec. 18, 199   |  |  |
|                                                      | СР                                      | 5,974,428                                                                                                               | Oct. 26, 1999       | Gerard et al.                                    | 707/203              | Aug. 29, 199   |  |  |
|                                                      | CQ                                      | 6,002,874                                                                                                               | Dec. 14, 1999       | Bahrs et al.                                     | 395/705              | Dec. 22, 199   |  |  |
|                                                      | CR                                      | 6,003,042                                                                                                               | Dec. 14, 1999       | Melahn                                           | 707/203              | Sep. 30, 199   |  |  |
|                                                      | cs                                      | 6,026,413                                                                                                               | Feb. 15, 2000       | Challenger et al.                                | 707/202              | Aug. 1, 1997   |  |  |
|                                                      | СТ                                      | 6,035,303                                                                                                               | Mar. 7, 2000        | Baer et al.                                      | 707/103              | Feb. 2, 1998   |  |  |
|                                                      | CU                                      | 6,112,024                                                                                                               | Aug. 29, 2000       | Almond et al.                                    | 395/703              | Oct. 2, 1996   |  |  |
|                                                      | cv                                      | 6,054,985                                                                                                               | Apr. 25, 2000       | Morgan et al.                                    | 345/342              | Jan. 27, 1997  |  |  |
|                                                      | cw                                      | 5,819,055                                                                                                               | Oct. 6, 1998        | MacLean et al.                                   | 395/342              | Dec. 13, 1994  |  |  |
|                                                      |                                         | OTHE                                                                                                                    | R PRIOR ART (       | including author, title, date, pertinent         | <i>page</i> , elk.)  |                |  |  |
|                                                      |                                         | Space", ACM p                                                                                                           | p. 89-96, 1995.     | lelization of Object-Oriented Progr              |                      |                |  |  |
|                                                      | CY                                      | Hadjerrouit, "A Constructivist Approach to Object-Oriented Design and Programming", ITICSE ACM, pp. 171-174, Feb. 1999. |                     |                                                  |                      |                |  |  |
|                                                      | CZ                                      | Jarvinen et al., "Object-Oriented Specification of Reactive Systems", IEEE, pp. 63-71, 1990.                            |                     |                                                  |                      |                |  |  |
|                                                      |                                         |                                                                                                                         |                     |                                                  |                      |                |  |  |
|                                                      | DB                                      |                                                                                                                         | Support for Co      | nstructing Environments with Mult                | tiple View", 1996, A | CM, pp. 212-2  |  |  |
| XAMINER                                              |                                         |                                                                                                                         | nsidered, wheth     | EXAMINER er or not citation is in conformance wi | th MPEP & 609: dray  | w line through |  |  |

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| EXAMINER<br>INITIAL                                                                     | DOCUMENT<br>NO. |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | PUBLICATION<br>DATE | INVENTOR NAME                                                                   | CLASS/<br>SUBCLASS       | FILING<br>DATE                                    |  |
|                                                                                         | DC              | 5,710,920                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | Jan. 20, 1998       | Maruyama et al.                                                                 | 395/614                  | Dec. 23, 1994                                     |  |
|                                                                                         | DD              | 5,892,510                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | Apr. 6, 1999        | Lau et al.                                                                      | 345/333                  | Jul. 2, 1996                                      |  |
|                                                                                         | DE              | 6,249,284 B1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Jun. 19, 2001       | Bogdan                                                                          | 345/357                  | Apr. 1, 1998                                      |  |
|                                                                                         | DF              | 6,307,571 B2                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Oct. 23, 2001       | Mozdzer et al.                                                                  | 345/762                  | Mar. 15, 2001                                     |  |
|                                                                                         | DG              | 5,926,177                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | Jul. 20, 1999       | Hatanaka et al.                                                                 | 345/340                  | Oct. 17, 1997                                     |  |
|                                                                                         | DH              | 6,355,741 B1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Mar. 12, 2002       | Marechal                                                                        | 526/64                   | Sep. 11, 2000                                     |  |
|                                                                                         | DI              | 2002/0109734                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Aug. 15, 2002       | Umezu et al.                                                                    | 345/846                  | Oct. 25, 2001                                     |  |
|                                                                                         |                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                     |                                                                                 |                          |                                                   |  |
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| EMENDAR                                                                                 | 1.2.9           | ОТН                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | ER PRIOR ART        | (including author, title, date, pertinen                                        | t page, etc.)            |                                                   |  |
|                                                                                         |                 | The state of the s |                     |                                                                                 |                          | engeneration (* 110 Auditus ann Amerika Art 1915) |  |
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